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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: ALEXZA Molecular Delivery CorporationApplication No./Patent No.: 10/718,982 Filed/Issue Date: 11/20/2003Entitled: DRUG CONDENSATION AEROSOLS AND KITSALEXZA Molecular Delivery Corporation, a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014737, Frame 0145, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
SignatureJames V. CASSELLA

Printed or Typed Name

FEB 01 2005

Date

650-687-3900

Telephone Number

Sr. VP, Research & Development; ALEXZA Molecular Delivery Corporation

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ASSIGNMENT

WHEREAS, the undersigned inventor(s) (referred to, collectively, if more than one, as "Assignor") have made an invention entitled "Drug Condensation Aerosols and Kits" for which an application for United States Letters Patent was filed on November 11, 2003, as Application Serial No.10/718,982, and

WHEREAS, Alexza Pharmaceuticals, Inc., a Delaware Corporation ("Assignee") having a place of business at 1020 East Meadow Circle, Palo Alto, California 94303, desires to acquire all right, title and interest in and to the above identified invention and application;

NOW, THEREFORE, Assignor, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, does hereby sell, assign, convey and transfer unto Assignee all right, title and interest in and to the above identified invention and application, together with all corresponding foreign applications and patents which may be filed thereon, including the right to claim priority from the above identified United States application; and Assignor hereby agrees that Assignor will sign all lawful papers, including, without limitation, all divisional, continuation, renewal, extension and reissue applications, and make all rightful oaths in execution thereof, and will generally do everything possible to aid Assignee, its successors, assigns and nominees to obtain and enforce proper protection for the invention in all countries, this obligation to be binding upon Assignor (each and severally, if more than one) and upon Assignor's personal representative or other legal successor.

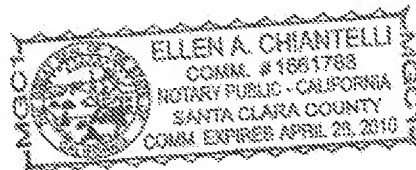
IN TESTIMONY WHEREOF, the undersigned Assignor (and each of them, if more than one) has signed below.

Date: 10-2-06

Address:

Ron L. Hale  
Ron L. Hale  
17085 Skyline Boulevard  
Woodside, California 94062

STATE OF California )  
COUNTY OF Santa Clara ) ss.



The foregoing instrument was acknowledged before me this 3<sup>rd</sup> day of October, 2006, by

Witness my hand and official seal.

My commission expires: 04-28-2010

Ellen A. Chiantelli  
Notary Public

Date: \_\_\_\_\_

Address: \_\_\_\_\_

Craig C. Hodges  
80 Terrace Road  
Walnut Creek, California 94596

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) ss.

The foregoing instrument was acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_, 2006,  
by \_\_\_\_\_

Witness my hand and official seal.

My commission expires: \_\_\_\_\_

\_\_\_\_\_  
Notary Public

Date: 10/2/06

Address: \_\_\_\_\_

Peter M. Lloyd  
Peter M. Lloyd  
30 Carisa Court  
Walnut Creek, California 94597

STATE OF California )  
COUNTY OF Santa Clara ) ss.



The foregoing instrument was acknowledged before me this 2nd day of October, 2006,  
by \_\_\_\_\_

Witness my hand and official seal.

My commission expires: 04/28/2010

Ellen A. Chiantelli  
Notary Public

Date: 11/21/2006

Address:

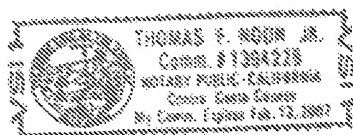
Craig C. Hodges  
Craig C. Hodges  
80 Terrace Road  
Walnut Creek, California 94596

STATE OF CALIFORNIA )  
 ) ss.  
COUNTY OF CONTRA COSTA )

The foregoing instrument was acknowledged before me this 21<sup>st</sup> day of NOVEMBER, 2006,  
by

Witness my hand and official seal.  
My commission expires: FEB. 13, 2007

Thomas F. Ngou  
Notary Public



Date: \_\_\_\_\_

Address:

\_\_\_\_\_  
Peter M. Lloyd  
30 Carisa Court  
Walnut Creek, California 94597

STATE OF \_\_\_\_\_ )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

The foregoing instrument was acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_, 2006,  
by

Witness my hand and official seal.  
My commission expires: \_\_\_\_\_

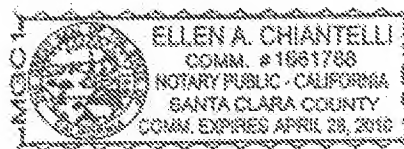
\_\_\_\_\_  
Notary Public

Date: Sept. 28, 2006

Address:

Amy T. Lu  
Amy T. Lu  
1090 Valley View Court  
Los Altos, California 94024

STATE OF California  
COUNTY OF Santa Clara ) ss.



The foregoing instrument was acknowledged before me this 28th day of Sept., 2006,  
by

Witness my hand and official seal.  
My commission expires: 04-28-2010

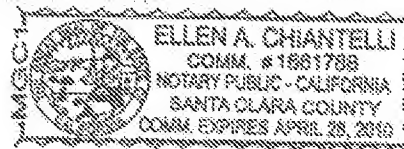
Ellen A. Chiantelli  
Notary Public

Date: October 2, 2006

Address:

Daniel J. Myers  
Daniel J. Myers  
75 Eldora Drive  
Mountain View, California 94041

STATE OF California  
COUNTY OF Santa Clara ) ss.



The foregoing instrument was acknowledged before me this 2nd day of October, 2006,  
by

Witness my hand and official seal.  
My commission expires: 04-28-2010

Ellen A. Chiantelli  
Notary Public

Date: \_\_\_\_\_

Address: \_\_\_\_\_

Joshua D. Rabinowitz  
17A Hulfish Street  
Princeton, New Jersey 08542

STATE OF \_\_\_\_\_ )

COUNTY OF \_\_\_\_\_ )

ss.

The foregoing instrument was acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_, 2006,  
by

Witness my hand and official seal.

My commission expires: \_\_\_\_\_

Notary Public

Date: 9/28/06

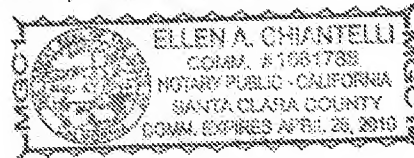
Address: \_\_\_\_\_

Martin J. Wensley  
Martin J. Wensley  
205 Caldwell Avenue  
Los Gatos, California 95032

STATE OF California )

COUNTY OF Santa Clara )

ss.



The foregoing instrument was acknowledged before me this 28th day of Sept., 2006,  
by

Witness my hand and official seal.

My commission expires: 04-28-2010

Ellen A. Chiantelli  
Notary Public

Date: 9/20/06

Address:

Joshua D. Rabinowitz  
Joshua D. Rabinowitz  
17A Hullish Street  
Princeton, New Jersey 08542

STATE OF New Jersey )  
COUNTY OF Somerset ) ss.

The foregoing instrument was acknowledged before me this 20<sup>th</sup> day of September, 2006,  
by

Witness my hand and official seal.

My commission expires: \_\_\_\_\_

Kannal Patel  
Notary Public

**KANNAL PATEL**  
**NOTARY PUBLIC OF NEW JERSEY**  
**MY COMMISSION EXPIRES JUNE 26, 2008**

Date: \_\_\_\_\_

Address:

Martin J. Wensley  
Martin J. Wensley  
88 King Street #618  
San Francisco, California 94107

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) ss.

The foregoing instrument was acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_, 2006,  
by

Witness my hand and official seal.

My commission expires: \_\_\_\_\_

\_\_\_\_\_  
Notary Public

Date: \_\_\_\_\_

Address: \_\_\_\_\_

Jeffrey A. McKinney  
809 Juno Lane  
Foster City, California 94404

STATE OF \_\_\_\_\_ )

ss.

COUNTY OF \_\_\_\_\_ )

The foregoing instrument was acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_, 2006,  
by

Witness my hand and official seal.

My commission expires: \_\_\_\_\_

Notary Public

Date: August 18, 2006

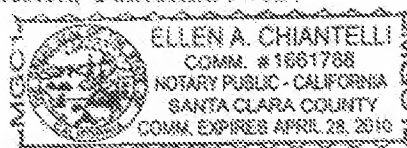
Address: \_\_\_\_\_

A. Zaffaroni  
Alejandro C. Zaffaroni  
1 Faxon Forest  
Atherton, California 94027

STATE OF California )

ss.

COUNTY OF Santa Clara )



The foregoing instrument was acknowledged before me this 18<sup>th</sup> day of August, 2006,  
by

Witness my hand and official seal.

My commission expires: 04-28-2010

Ellen A. Chiantelli  
Notary Public

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Date: 1/1/2007

Address:

Jeffrey A. McKinney  
Jeffrey A. McKinney  
869 Juniper Lane 851 Moraga Rd  
Foster City, California 94404  
Lafayette, CA 94549

STATE OF \_\_\_\_\_ )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

The foregoing instrument was acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_, 2006,  
by

Witness my hand and official seal.

My commission expires: \_\_\_\_\_

\_\_\_\_\_  
Notary Public

Date: \_\_\_\_\_

Address:

\_\_\_\_\_  
Alejandro C. Zaffaroni  
1 Faxon Forest  
Atherton, California 94027

STATE OF \_\_\_\_\_ )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

The foregoing instrument was acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_, 2006,  
by

Witness my hand and official seal.

My commission expires: \_\_\_\_\_

\_\_\_\_\_  
Notary Public

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